| 1   | BEFORE THE PUBLIC DISCLOSURE COMMISSION<br>OF THE STATE OF WASHINGTON   |  |  |
|---|---|--|--|
| 2   | IN RE COMPLIANCE WITH<br>RCW 42.17  | PDC CASE NO. 04-656                          |  |
| 4   | ALFRED J. KIEFER, JR.   | ORDER OF REFERRAL<br>TO THE WASHINGTON STATE |  |
| 5   | Respondent.   | ATTORNEY GENERAL'S<br>OFFICE                 |  |
| 7   |   |  |  |
| 8   | <u>INTRODUCTION</u>   |  |  |
| 9<br>10<br>11<br>12<br>13<br>14<br>15<br>16<br>17<br>18<br>19<br>20         | This matter came before the Washington State Public Disclosure Commission on August 24, 2004 at its meeting at the PDC offices in the Evergreen Plaza Building, Room 206, 711 Capitol Way South, Olympia, Washington. Those present included Commission members Michael Connelly, Chair, Jeannette Wood, Vice-chair, Francis Martin, Secretary, Earl Tilly, and Jane Noland; PDC Executive Director Vicki Rippie; PDC Assistant Director Susan Harris; PDC Director of Compliance Philip E. Stutzman; Senior Assistant Attorney General Linda A. Dalton; and Senior Counsel Nancy Krier. Respondent Alfred J. Kiefer, Jr. was provided advance written notice of the meeting and advance notice of the meeting and this matter were posted on the PDC's website. Respondent did not attend the meeting.  Philip E. Stutzman, representing PDC Staff, presented the Commission with an oral summary of the findings and alleged apparent violation of RCW 42.17.240 committed by Respondent Alfred J. Kiefer, Jr. The Commission also reviewed a written report regarding the Respondent. Following the oral presentation by Staff and consideration of the materials submitted by Staff, and after deliberation, the Commission directed the following: |  |  |
| <ul><li>21</li><li>22</li><li>23</li><li>24</li><li>25</li><li>26</li></ul> |   |  |  |

| 1  | ORDER OF REFERRAL  |  |
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| 2  | By a unanimous vote, the Commission finds an apparent violation of RCW 42.17.240 by  |  |
| 3  | the Respondent for failing to timely file a Statement of Financial Affairs by April 15, 2004.  |  |
| 4  | Given the insufficiency of its penalty authority and in lieu of holding an enforcement   |  |
| 5  | hearing, the Commission also unanimously refers the above referenced apparent violation to the   |  |
| 6  | Washington State Attorney General's Office for appropriate action pursuant to RCW 42.17.360  |  |
| 7  | and .395 and WAC 390-37-100, including seeking a court order compelling the Respondent to  |  |
| 8  | file the disclosure reports required by RCW 42.17.240.   |  |
| 9  |  |  |
| 10 | DATED THIS 31 <sup>st</sup> day of August, 2004.   |  |
| 11 | FOR THE COMMISSION:  |  |
| 12 |  |  |
| 13 |  |  |
| 14 | VICKI RIPPIE, Executive Director   |  |
| 15 | Term rai r ib, Enecutive Birector  |  |
| 16 | Copies to be provided to: Linda A. Dalton, Senior Assistant Attorney General Counsel for Commission Staff Nancy Krier, Senior Counsel for Commission |  |
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